



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of
Artavanis-Tsakonas et al.

Group Art Unit:

Serial No.: 08/083,590

Examiner:

Filed: June 25, 1993

For: THERAPEUTIC AND DIAGNOSTIC
METHODS AND COMPOSITIONS
BASED ON NOTCH PROTEINS AND
NUCLEIC ACIDS

Pennie & Edmonds
1155 Avenue of the Americas
New York, New York 10036

Attorney Docket No.: 7326-015

REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Attention: Refund Section, Accounting Division
Office of Refund

Sir:

A filing fee in excess of the required fee has been paid in connection with the subject patent application. In particular, a fee of \$6,600.00 has been paid. An Assignment of the application to Yale University, 451 College Street, New Haven, Connecticut 06520 is submitted concurrently herewith. Yale University qualifies as a small entity under 37 C.F.R. 1.9(f) and 1.27(d) and, therefore, a fee of only \$3,300.00 is required. As per instructions set forth in the notice at 1027 O.G. 115 from the then

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. TB 389 222 812 US Date of Deposit August 13, 1993

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

ISMAEL MARDONADO

(Type or print name of person making payment)

Signature of person making payment on fee

PENY-198098.1

Acting Commissioner of Patents and Trademarks, Donald J. Quigg, a Verified Statement

Claiming Small Entity Status [37 C.F.R. 1.9(f) and 1.27(d)] is enclosed herewith.

Please refund the excess by crediting \$3,300.00 to Deposit Account No.

16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PENNIE & EDMONDS
Attorneys for Applicants

Date: August 13, 1993

By Adriane Antler
Reg. No. 32,605
S. Leslie Misrock 18,872
S. Leslie Misrock (Reg. No.)